

Title: SMOKING PRODUCT AND METHOD
OF MAKING THE SAME

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1 This disclosure relates to a smoking product
and method of making the same. It has been known
that the amount of smoke delivered to the smoker of
a cigarette can be lowered, without increasing the
resistance-to-draw of the cigarette, by increasing
5 the proportion of air which is drawn in with the smoke
behind the burning coal. It is also known that
additional air can be provided with the smoke by
using a very porous paper as the wrapper for the
tobacco or by placing perforations in the paper. In
10 this way a greater proportion of the combustion pro-
ducts are dissipated to the atmosphere in the inter-
vals between puffing. Cigarettes have also been
made wherein ventilation holes have been included
in the paper or in the overtipping surrounding the
15 filter plug of a filter cigarette. In addition,
various methods have been described for the smoker
to select the degree of ventilation before smoking.

None of the above-described methods have been
completely satisfactory, however. Cigarettes which
20 have been ventilated to any significant degree have
been characterized by many smokers as being "thin",
"tasteless" or "not satisfying".

An invention which involves reducing the amount
of smoke delivered by a cigarette to the smoker is
25 set forth in United States Patent 2,992,647 to Frank
H. J. Figge. The Figge patent involves a method of

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1 making a combustible cigarette or the like having
built-in means for regulating the combustion tem-
perature, said means comprising perforations or
pores in the cigarette paper which are filled with
5 a material that melts or sublimates at such a temper-
ature that the perforations or openings will open
up a short distance in advance of the burning area
to regulate the amount of air or the percentage of
the puff coming through the burning area. While the
10 Figge patent provides advantages over the previously
known ventilation means, it does not provide a com-
plete solution to the basic problem which includes
a combination of: (1) reducing the amount of smoke
delivered to the smoker of a cigarette and (2)
satisfying the smoker of the cigarette.

15 The Figge invention, however, while providing
an automatic method for opening vent holes in
cigarettes, involves an opening of the vent holes
upon the approach of the hot coal to the vent holes.

20 The present invention provides an improvement
over Figge in that the holes are more readily opened
and at a farther distance from the coal in the pre-
sent invention than can be achieved by Figge. While
I do not wish to be bound by any theory, it is my be-
25 lief that this is due to the fact that the water-
soluble materials used in the present invention are
more easily broken down due to moisture in the

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1 tobacco smoke than the materials of Figge which are
broken down due to the heat of the tobacco coals.

5 The present invention, thus, overcomes the
disadvantages of the prior art dilution methods and
makes possible a smoking product which provides the
desired degree of smoking satisfaction while provid-
ing lower delivery of tars and nicotine to the smoker
on a per puff basis as well as on an overall
cigarette basis.

10 One embodiment of the present invention, the
use of a bubble form of water-soluble material, also
provides more efficient dilution and provides visible
evidence of the opening of the dilution holes, with
the benefit of the full satisfaction from the smoke
during the early stages of smoking and the psycho-
logical advantages of seeing the dilution holes open
15 during the latter stages of smoking.

20 This invention relates to a smoking product
and method of making the same. More particularly,
the invention relates to a cigarette or similar smok-
ing article which is capable of providing undiluted
smoke during the early stages of smoking and which
provides smoke diluted by air during the later stages
of smoking. The invention encompasses smoking pro-
ducts having ventilation holes, formed mechanically
25 or otherwise or present in the paper or wrapper due
to the inherent porosity of the same, which are

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covered or filled with a substance which is dis-
integrated by the action of the ingredients,
particularly the moisture, in the tobacco smoke which
results from the burning of the tobacco in said
tobacco product. The ventilation holes may be in
the wrapper of the smoking product or in the walls
of a filter associated with said smoking product or
may be in both the wrapper and filter of a smoking
product, the holes being spaced some distance away
from the end of the smoking product are closed with
a water-soluble material during the initial stages
of smoking but are opened during subsequent stages
of smoking due to the action of the moisture in the
tobacco smoke on the material which initially blocks
said holes. A particularly preferred water-soluble
material for use in accordance with this invention
is polyethylene oxide.

A particularly preferred embodiment of the
present invention is the use of a water-soluble
material having a cellular or bubble structure. Such
materials provide for superior degradation properties
when contacted with water and can, if desired, be
made of substantially the same color as the wrapper
or filter in which the holes are located so that it
is virtually unnoticeable before the smoking product
is smoked. When the holes are opened by degradation
of the film during smoking they assume a darker

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1 appearance which is readily noticeable to the
smoker. Thus, the smoker can have visible evi-
dence of the opening of the dilution holes, with
the benefit of the full satisfaction from the
smoke during the early stages of smoking and the
5 psychological advantages of seeing the dilution
holes open during the latter stages of smoking.

It is an object of this invention to pro-
vide a cigarette which will give substantially un-
diluted smoke during the early puffs and diluted
10 smoke during the later puffs. It is a further
object to provide a cigarette which has a lowered
total delivery of smoke components to the smoker.
It is a further object to provide a cigarette
which the smoker will find to have a reasonable
15 resistance-to-draw and to give a satisfying smoke.
It is a still further object of the present inven-
tion to provide a cigarette which provides visible
evidence of the opening of the dilution holes, with
the benefit of the full satisfaction from the smoke
20 during the early stages of smoking and the psycho-
logical advantages of seeing the dilution holes
open during the latter stages of smoking. Other
objects will appear hereinafter.

25 The above and other objects and advantages
of the invention will become apparent from the
following description, read in conjunction with the
accompanying drawings, in which:

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Figure 1 is a perspective view of one embodiment of the present invention, a plain cigarette having openings or vent holes in the wrapper which are covered or filled with a water-soluble cellular plastic film.

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Figure 2 is an enlarged fragmentary view of a small area of the covered vent holes in the wrapper of the cigarette in Figure 1.

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Figure 3 is a cross-section through one of the covered vent holes in the wrapper of the cigarette shown in Figures 1 and 2, showing the appearance of the coating before the cigarette is smoked.

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Figure 4 is a cross-sectional view of the same vent hole shown in Figure 3, after the moisture of the cigarette smoke has caused the water-soluble cellular plastic film to break down and the hole to be opened.

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Figure 5 is a perspective view of another embodiment of the present invention, a cigarette having openings or vent holes in the filter and in the wrapper, said openings being covered or filled with a water-soluble plastic film.

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Referring to Figures 2 and 3, an enlarged portion of cigarette 1 is shown, as indicated in the drawings, which is representative of all openings 4, wherein vent holes 4 are covered by water-

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soluble cellular plastic film 5 having air bubbles 7.
Film 5 blocks passage 6 in vent hole 4.

Referring to Figure 4, opening 4 in paper cylinder 3 is shown with film 5a resulting from the degradation of water-soluble cellular plastic film 4, to form free passage 6 through vent hole 4, which passage connects tobacco 2 with the exterior of the cigarette 1.

Referring now, in detail, to Figure 5, a filter cigarette 10 consists of a cylinder of tobacco 12, encased in a combustible paper cylinder 13, and a filter unit 16 consisting of cellulose acetate filter material 17 and mouthpiece 18. Through paper cylinder 13 and mouthpiece 18 have been punched vent holes 14, which have been covered by water-soluble film 15. When film 15 is degraded by the action of the moisture in the tobacco smoke, vent holes 14 are opened to provide connection between the tobacco 12 and the outside of the cigarette 1 in the tobacco section of the cigarette and between filter material 17 and the outside of cigarette 1, in the filter unit 16 section of the cigarette.

The objects of the present invention may be realized by providing the paper wrapper or the like of a cigarette or other smoking product with holes of sufficient size to provide significant dilution of the smoke by air, and covering or plugging these

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1 holes with a film which is susceptible to the action
of moisture to such a degree that the moisture-
laden smoke from the first portion of the cigarette
causes the film gradually to dissolve or disintegrate
5 in such a way that the holes are opened after the
first few puffs of the cigarette or the like have
been taken.

In accordance with this invention, the
smoking product delivers undiluted smoke during the
10 initial puff or during the first few puffs, but
during the latter stages of smoking the smoking pro-
duct is ventilated, i.e. the smoke is diluted, to
any desired degree, as determined by the number and
size of the holes, and the nature and thickness of
the film covering the holes. The portion of a
15 cigarette rod which should be without such holes
will be dependent on the specific rate of action of
smoke moisture upon the blocking film and in some
instances upon the film thickness. The film and its
thickness can be varied to control the proportion of
20 unventilated smoke delivered. Preferably this will
be the smoke from the first four to six puffs.

The moisture-susceptible or water-soluble
film may consist of a dextrin, starch, or starch de-
25 rivative, a natural water-soluble gum, or a water-
soluble synthetic polymer which is attacked by high
humidity. Natural gums useful as film formers in-
clude guar gum, gum arabic, tragacanth and pectins.

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Synthetic resins or polymers useful in the present invention are exemplified by solid polyethylene glycols, polyvinyl alcohols, polyethylene oxides, polyacrylic acids and their salts, and polymers of polyvinylpyrrolidone. Various blends of these materials, used in various molecular weights, may also be used.

Preferred materials are the water-soluble polyalkylene oxides and polyvinyl alcohols.

The polyalkylene oxide may have a molecular weight between about 70,000 and 5,000,000 and greater can be employed in the invention. The preferred molecular weight of the polyethylene oxide is from about 100,000 to about 300,000.

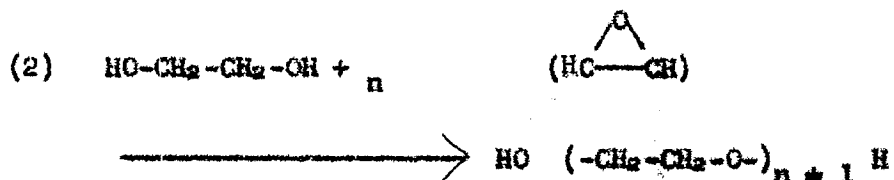
The polyalkylene oxide film or coating may be prepared using polyethylene oxide or a copolymer of ethylene oxide with less than 50% by weight of propylene oxide, i.e., oxides containing both $-C_2H_4O-$ and $-C_3H_6O-$ groups, and may be also mono- or di-esters of such polyalkylene oxides, for example, the methoxy esters of polyethylene oxides. As used herein, the term "polyalkylene oxide" is intended to include all such materials, including the esters, having molecular weight of from about 70,000 to about 5,000,000.

Illustrative of such materials are polyethylene oxides which have the general formula:



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wherein x is an integer having a value of from about 1,600 to about 115,000. Such materials may be prepared, generally, by polymerizing alkaline oxides by conventional methods. For example, ethylene oxide may be reacted in accordance with the following equations to yield the polymer:



Particularly preferred polyalkylene oxides are water-soluble solid polyethylene oxide and copolymers containing at least 50 weight percent of ethylene oxide in copolymerized form with up to 50 weight percent of a second lower olefin oxide, for example, propylene oxide, butylene oxide, and the like.

In a most preferred embodiment of the present invention, polyethylene oxide and or the above defined copolymers should have a reduced viscosity value in the range of from about 1.0 to about 75 to even higher, and most preferably should have a reduced viscosity of from about 2 to about 60. Reduced viscosity is an indirect measurement of the molecular weight of the polymer and it is a value obtained by

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1 dividing the specific viscosity by the concentra-
tion of the alkylene oxide polymer in the solution,
the concentration being measured in grams of polymer
per 100 milliliters of solvent at a given temperature.
5 The specific viscosity is obtained by dividing the
difference between the viscosity of the solution and
the viscosity of the solvent by the viscosity of the
solvent. The reduced viscosities herein referred to
are measured at a concentration of 0.2 gram of poly-
10 alkylene oxide in 100 milliliters of acetonitrile at
30°C.

Solid alkylene oxide polymers can be prepared
by polymerizing an alkylene oxide in the presence of
certain metal carbonate catalysts, such as calcium
15 carbonate, barium carbonate, strontium carbonate and
the like. These metal carbonate catalysts can be em-
ployed in concentrations in the range from about 0.3 to
3 parts by weight per 100 parts by weight of alkylene
oxide. The polymerization reaction can be conducted
20 in the liquid phase at a temperature in the range from
about 70°C. to about 150°C. It is preferred that the
metal carbonate catalyst contain not more than one
part by weight of non-sorbed water per 100 parts by
weight of monomer, and at least 0.01 part by weight of
25 sorbed water per 100 parts by weight of catalyst. It
is also preferred that the carbonate catalyst be free
from ions which reduce their catalytic activity such

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as, for example, chlorate and thiosulfate ions.

1 Additional details regarding the production of poly-
alkylene oxide can be found in the disclosure in
United States Patent 3,032,445 and the disclosure in
the United States applications which are referred to
5 therein.

A plasticizer such as glycerol or diethylene
glycol may be incorporated in the film former to alter
its flexibility; a material not a plasticizer which is
hygroscopic, such as calcium chloride, may be incor-
10 porated to expedite the action of smoke moisture. In
addition, materials which react with water or with
other smoke constituents may be present in the film or
bubble coating to cause its deterioration. For example,
citric acid and sodium bicarbonate may be present to
15 react with the smoke constituents to cause a rapid
breakdown of the water-soluble film.

A filler may be added to the film as a source
of heterogeneity or of stress concentration to expedite
the disintegrating action of moisture. Some fillers
20 useful in this way include "Alundum," fused alumina,
titania, clay, talc, calcium carbonate, silica, aluminum
carbide and barium ferrite.

The film-forming solution or dispersion may be
applied by roll- or knife-coating or printing for more
viscous compositions, by spray or brush for less vis-
25 cous compositions. Casting, hot melt coating, and other

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1 procedures known in the art may be useful in certain
instances. Precasting and drying of the film followed
by application to the perforated paper or other wrap-
ping web may be employed.

5 Other embodiments of this invention are pos-
sible. The temporary blocking film may contain an
agreeable flavoring agent which is gradually released
to the smoke by the action of moisture or particulates.
The perforated paper may be treated with a release
10 agent or water repellent before the film is applied.

The coated holes may be located in the inner-
wrap of a filter, with the adjacent overtip having open
perforations. Conversely, the overtip perforations
may be coated and those of the innerwrap open. In-
15 herently porous paper may also be employed.

A particular combination which provides out-
standing results in accordance with the present inven-
tion, involves the use, in a cigarette or the like, of
spaced perforations no closer to the smoking end of
20 the cigarette than 20 mm. and having a total surface
corresponding to from about 0.2 to about 1.5 percent of
the total surface area of the cigarette wrap, said per-
forations being filled with or coated with a film
having a thickness of from 3 to 60 microns and pre-
25 ferably 5 to 15 microns of a polyvinyl alcohol or a
polyethylene oxide.

The advantage of employing a film of the type
set forth above over a film or coating which is heat

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1 degradable, resides in the more accurate control,
over the opening of the filled perforations or holes
and in the fact that holes can be caused to open a
much greater distance from the coal in the cigarette,
5 whereby ventilation can be started and maintained at
a desired level with greater accuracy and greater
variability and whereby lower total particulate
matter is produced.

Particularly preferred films or coatings
10 for use in accordance with the present invention are
water-soluble cellular materials or bubble coatings.

Moisture-susceptible, i.e., water soluble,
bubble coatings which may be employed in this em-
bodiment include three-dimensional cellular structures
15 having a multiplicity of microscopic or submicroscopic
voids distributed throughout their volume beneath the
outer surface thereof. The material, apart from these
voids, is substantially continuous and homogeneous and
the film as a whole is opaque because of its heterogene-
20 ous physical structure, due to such voids. When the
water-soluble material is contacted with the moisture
from tobacco smoke passing past it, it softens and
coalesces with attendant collapse of such voids and
the opening of the holes filled or covered by such a
25 film or coating.

Under certain circumstances, the coating can
be white and can be applied to vent holes in a

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1 cigarette whereby the coated holes are virtually in-
visible. When the cigarette is smoked, the coating
is broken down by the moisture in the smoke and the
holes open up, turning dark and visible due to the
5 tobacco which is thereby exposed to view.

Such a film may be prepared and applied to
the paper base by applying thereto an emulsion of the
oil-in-water type, wherein a film-forming plastic is
the continuous phase and the dispersed phase is pre-
10 sent in the form of multitudinous droplets, at least
almost all of which are of microscopic or submicro-
scopic dimensions, and by drying the film in such
manner that the dispersed phase is evaporated without
essential disruption or substantial collapse of the
15 cellular structure of the continuous phase. While
the gelation of the plastic film and the evaporation
of the water therefrom may to a certain extent be
simultaneous, in general they occur in substantial
sequence in that order, in that the plastic layer
20 first attains such a degree of semi-solidity as to be
effective to drop the dispersed water droplets. The
solvent is then evaporated by diffusion through the rigid
or substantially rigid, cellular walls of the plastic
and is replaced by air forming the voids already
25 referred to.

A variation of the oil-in-water system
may also be employed, however, and has shown certain

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1 advantages. In this variation, two non-aqueous
liquids, less polar than water, are employed; the
two may be miscible, but one is not a solvent for
the film-forming resin. When the resin is dis-
5 solved in the solvent liquid and the second liquid
added, a clear solution may result. The dispersed
droplets do not appear until the film has been cast
and enough of the solvent liquid has evaporated to
force the separation and coalescence of the non-
10 solvent within the film. The trapped droplets then
produce bubbles by the same exchange with air that
has been described. The advantage of this system
lies in the fact that it does not wet the paper and
better control of the application is possible.
15 Bubble coatings may contain pigment, but this is
usually unnecessary for the purposes of the present
invention and would merely obscure the desired
change in appearance. The coating may be applied by
conventional methods to the perforated wrapper or
20 mouthpiece material; for example, it may be printed,
roll-coated, knife or brush coated, or sprayed. I
have found that a form of coating that coats only the
perforations is probably most desirable. Coating
preferably is done on the perforated wrapper or the
25 like before the rod is formed, but it could instead
take place on the wrapper tobacco rod or filter rod
or the finished cigarette.

The following examples are illustrative:

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Example 1

A water dispersion was prepared by placing 70 g. of water in a glass blender jar and slowly adding the following ingredients with agitation at high speed: 3 g. dextrin (canary No.726 - Clinton Corn Processing Co.), 6 g. starch (No. C3-267 - A.E. Staley Manufacturing Co.), and 9 g. polyvinyl alcohol ("Elvanol" 46-22 - E.I. du Pont de Nemours & Co., Inc.). The resulting yellow, heterogeneous mixture was heated 15 minutes at 180°F. with stirring to produce a creamy homogeneous mixture. To this were added 9 g. glycerine (Fisher Scientific Co.) and 152 g. water, and the mixture was stirred and heated again in a water bath at 180°F. for 5 minutes. A filler or abrasive, 60 g. of "Alundum" fused alumina No.320, - 320 mesh (Norton Co.), was stirred into the blend; this additive will settle on standing so that thorough stirring was necessary before application. A very thin coating was applied to perforated cigarette filter tipping paper by means of a camel's hair brush and the paper was dried in air and then in an oven. The perforated paper had 6 lines of holes running circumferentially, or a total of approximately 180 holes per tip, each about 0.025 sq. mm.

Cigarettes were assembled as follows:
commercial 20 mm. cellulose acetate tow filters

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1 were cut transversely across, 13 mm. from the
smoker's end. The tipping paper prepared as
described was used with conventional tipping
adhesive on the filter exterior to attach the 13
5 mm. filter portion at a point 6 mm. back of its
original position so that a 6 mm. empty space be-
tween filter sections remained covered only by the
new tipping, with the film-covered vent holes
located at this space, and to attach the filters
10 to 65 mm. cigarette rods.

These cigarettes were smoked by machine with
a modified cigarette holder which permits measure-
ment of air flow through the walls of the filter
end of the cigarette, and this by comparison with
15 the known rate of total flow during puffing will
indicate the proportional smoke dilution, usually
stated as percent of total flow. The means for de-
termining dilution is a glass sleeve which fits
loosely over the filter end of the cigarette and
20 which has a side arm leading to a flow meter which
is open to the air. The sleeve is covered at each
end with thin rubber dam which has a hole through
which to slip the cigarette to provide an air-
tight fit. The end of the filter tip extending
25 out from this sleeve is placed in the customary
manner in the inlet side of a Cambridge filter

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1 holder with filter, which in turn is connected
with a smoking machine. This machine is con-
trolled in a known fashion to draw a 35 cc. puff
during two seconds once every minute. The
5 cigarette is lighted during such a puff, and the
resistance-to-draw (pressure drop through the
cigarette) and air flow during puffing through
the filter wrapping is recorded before lighting,
during the first (lighting) puff, and during the
10 smoking until an arbitrary butt length of 35 mm.
is reached. At that point the cigarette is re-
moved from its holder, puffing is stopped, and
the filter and holder are weighed to determine,
by comparison with their initial weight, the
15 amount of total particulate matter (TPM) de-
livered.

In Table I are shown the comparative re-
sults from smoking the experimental cigarettes
and the same commercial cigarettes without modi-
20 fication. The opening of the holes is demon-
strated by the drop in draw resistance as well
as by the dilution.

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Table I

Puff-by-Puff Measurement of Delayed Dilution Cigarettes

| | <u>Control</u> | | <u>Sample 1</u> | | <u>Sample 2</u> | |
|----------------|----------------|------------------|-----------------|------------------|-----------------|------------------|
| | <u>RTD*</u> | <u>%Dilution</u> | <u>RTD*</u> | <u>%Dilution</u> | <u>RTD*</u> | <u>%Dilution</u> |
| Before Smoking | 4.4 | 0 | 3.2 | 8 | 3.0 | 3 |
| Puff 1 | 4.5 | 0 | 3.3 | 9 | 4.0 | 5 |
| 2 | 5.2 | 0 | 4.6 | 12 | 4.8 | 6 |
| 3 | 4.8 | 0 | 4.8 | 12 | 4.8 | 6 |
| 4 | 5.0 | 0 | 4.4 | 11 | 4.8 | 5 |
| 5 | 4.5 | 0 | 4.2 | 11 | 4.6 | 5 |
| 6 | 4.9 | 0 | 4.6 | 11 | 4.4 | 6 |
| 7 | 5.0 | 0 | 5.2 | 12 | 4.2 | 14 |
| 8 | 4.8 | 0 | 5.3 | 11 | 4.4 | 25 |
| 9 | 4.4 | 0 | 4.8 | 23 | 4.2 | 50 |
| 10 | 4.4 | 0 | 4.8 | 36 | 3.6 | 45 |
| 11 | 4.4 | 0 | 4.0 | 50 | | |

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Source: <https://www.industrydocuments.ucsf.edu/docs/jhnm0000>.

1 stirred until all the resin was in solution. Tipping paper having 180 holes totalling 0.0063 sq. in. was coated lightly with this solution and oven-dried. The paper was then used to attach sections of filter tip to a 65 mm. cigarette tobacco rod in the "plug-space-plug" configuration and with the dimensions described in Example 1. The cigarette was smoked and dilution at the beginning and end of smoking was 7 and 20%, respectively.

10 Details of dilution holes and tipping paper:

Paper 1.8 mils thick (1 mil = 0.001 inch)

Holes 5 x 7 mils or 35 sq. mils each

(1 sq. mil = 10^{-6} sq. inch)

15 180 holes or total area 6300 sq. mils

Total Area 0.0063 sq. in.

Area vs. % Dilution for Single Layer of Paper

| <u>Open Area Sq. Mils</u> | <u>% Dilution</u> |
|---------------------------|-------------------|
| 35 | 8 |
| 350 | 25 |
| 700 | 40 |
| 1300 | 60 |
| 2100 | 73 |
| 6300 | 93 |

20 Thickness of a representative blocking film, composition of Example 1, is less than that of paper; estimated by microscopy 0.30 - 0.75 mils.

25 In the examples which follow, the water-soluble coating material was prepared as follows:

One hundred cc. of ethylene dichloride was added to a laboratory blender. The blender was run

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for a period of two minutes at 1500 revolutions per
minute (rpm). The plasticizer, when employed, was
added to the ethylene dichloride, while polyethylene
oxide was added over a period of one minute and the
5 blender was then run for an additional five-minute
period at 1860 rpm. One gram of Varsol No. 3 (a
petroleum solvent sold by Esso Standard Oil Co. com-
posed principally of paraffins, naphthanes and aromatics)
was then added to the mixture in the blender and the
10 blender was run for an additional period of five
minutes at 1860 rpm. The resulting material was
then applied to standard cigarette paper having per-
forations as indicated in each example. The coating
method, in greater detail, comprises a knife coater
15 application of the liquid solution to the cigarette
paper with a subsequent air drying step resulting in
a cellular coating.

The perforations in each line as indicated in
the examples comprised rectangular punctures of 0.005
20 to 0.007 dimensions spaced at intervals of 0.028" in
a line such that in the finished cigarette, the punc-
tures appeared in a plane parallel to the longitudinal
axis of the cigarette. The spacing of each row of
perforations was 8 1/3 mm. from the center line of the
25 cigarette paper. Distance between lines was 0.22".
Cigarette rods had a 25 mm. section of unperforated
paper in the front half, i.e. at the smoking end.

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Example 5

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In this example, 20 grams of a water-soluble polyethylene oxide having a molecular weight of 300,000 (Polyox WSRN 750) was employed as the polyethylene oxide. The coating material was prepared by the method set forth above. The coating was applied to a cigarette having six lines of perforations consisting of three rows of two lines each. The average thickness of the coating applied to the perforations was nine microns. The cigarette was tested on a standard smoke testing machine (Philip Morris Automatic Smoking Machine of the type sold by Phipps & Bird, Inc.) and was compared with a control cigarette which was exactly the same except that it had no perforations or coating. The cigarettes were evaluated for total particulate matter on a puff-by-puff basis in accordance with the standard test which is described in Analytical Chemistry, 31, 1705-1709 (1958), Wartman, Coghill and Harlow. The cigarettes employed had a standard 20 mm. cellulose acetate filter and a rod of tobacco 65 mm. in length. The results obtained are set forth in Table II.

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Table II

| <u>Puff No.</u> | <u>Experimental Cigarette</u> | <u>Control Cigarette</u> |
|-----------------|-------------------------------|--------------------------|
| 1 | 1.5 mg. | 1.5 mg. |
| 2 | 1.8 | 1.8 |
| 3 | 1.9 | 2.2 |
| 4 | 2.0 | 2.3 |
| 5 | 1.0 | 2.4 |
| 6 | 2.1 | 2.7 |
| 7 | 2.2 | 2.9 |
| 8 | 2.3 | 3.1 |
| 9 | 2.5 | 3.3 |

Example 6

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In this example, 20 grams of a water-soluble polyethylene oxide having a molecular weight of 200,000 (Polyox WSRN 80) was employed as the polyethylene oxide. The coating material was prepared by the method set forth in Example 5. The coating was applied to a cigarette having nine lines of perforations consisting of three rows of three lines each. The average thickness of the coating applied to the perforations was ten microns. The cigarette was tested on a standard smoke testing machine (Philip Morris Automatic Smoking Machine of the type sold by Phipps & Bird, Inc.) and was compared with a control cigarette which was exactly the same except that it had no perforations or coating. The cigarettes were evaluated for total particulate matter on a puff-by-puff basis in accordance with the standard test which is described in Analytical Chemistry, 31, 1705-1709 (1958), Wartman, Cogbill and Harlow. The cigarettes employed had a standard

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1 20 mm. cellulose acetate filter and a rod of
tobacco 65 mm. in length. The results obtained
are set forth in Table III.

5 Table III

| <u>Puff No.</u> | <u>Experimental Cigarette</u> | <u>Control Cigarette</u> |
|-----------------|-----------------------------------|------------------------------|
| 1 | 1.3 mg. | 1.3 mg. |
| 2 | 1.5 | 1.7 |
| 3 | 1.7 | 1.8 |
| 4 | 1.5 | 2.0 |
| 5 | 1.5 | 2.3 |
| 6 | 1.4 | 2.4 |
| 7 | 1.7 | 2.4 |
| 8 | 1.6 | 2.8 |
| 9 | 1.8 | 2.9 |
| 10 | 2.3 | 3.3 |

10 Example 7

In this example a blend of eight grams of a
water-soluble polyethylene oxide having a molecular
weight of 200,000 (Polyox WSRN 80) and three grams
of a water-soluble polyethylene oxide (WSRN 20)
having a molecular weight below 100,000 was employed
as the polyethylene oxide. The coating material was
prepared by the method set forth in Example 5.

20 The coating was applied to a cigarette having
six lines of perforations consisting of three rows
of two lines each. The average thickness of the
coating applied to the perforations was fourteen
microns. The cigarette was tested on a standard
smoke testing machine (Philip Morris Automatic
Smoking Machine of the type sold by Phipps & Bird,
Inc.), and was compared with a control cigarette

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1 which was exactly the same except that it had no
perforations or coating. The cigarettes were eva-
luated for total particulate matter on a puff-by-
5 puff basis in accordance with the standard test
which is described in Analytical Chemistry, 31,
1705-1709 (1958), Wartman, Cogbill and Harlow. The
cigarettes employed had a standard 20 mm. cellulose
acetate filter and a rod of tobacco 65 mm. in
10 length. The results obtained are set forth in
Table IV.

Table IV

| <u>Puff No.</u> | <u>Experimental Cigarette</u> | <u>Control Cigarette</u> |
|-----------------|-----------------------------------|------------------------------|
| 1 | 1.5 mg. | 1.4 mg. |
| 2 | 1.7 | 1.8 |
| 3 | 2.1 | 2.1 |
| 4 | 2.2 | 2.1 |
| 5 | 2.2 | 2.5 |
| 6 | 2.1 | 2.5 |
| 7 | 2.3 | 2.6 |
| 8 | 2.5 | 2.9 |
| 9 | 2.5 | 3.3 |

Example 8

20 In this example, a blend of ten grams of a
water-soluble polyethylene oxide having a molecular
weight of 200,000 (Polyox WSRN 80) and two grams
of a water-soluble polyethylene oxide (WSR 301) having
a molecular weight of four million was employed as
25 the polyethylene oxide. The coating material was
prepared by the method set forth in Example 5. The
coating was applied to a cigarette having nine lines
of perforations consisting of three rows of three

1 lines each. The average thickness of the coating
applied to the perforations was seven microns. The
cigarette was tested on a standard smoke testing
5 machine (Philip Morris Automatic Smoking Machine of
the type sold by Philipps & Bird, Inc.), and was com-
pared with a control cigarette which was exactly the
same except that it had no perforations or coating.
The cigarettes were evaluated for total particulate
10 matter on a puff-by-puff basis in accordance with
the standard test which is described in Analytical
Chemistry, 31, 1705-1709 (1958), Wartman, Cogbill
and Harlow. The cigarettes employed had a standard
20 mm. cellulose acetate filter and a rod of tobacco
65 mm. in length. The results obtained are set forth
15 in Table V.

Table V

| <u>Puff No.</u> | <u>Experimental Cigarette</u> | <u>Control Cigarette</u> |
|-----------------|-----------------------------------|------------------------------|
| 1 | 1.3 mg. | 1.4 mg. |
| 2 | 1.4 | 1.8 |
| 3 | 2.0 | 2.1 |
| 4 | 2.0 | 2.1 |
| 5 | 1.9 | 2.5 |
| 6 | 2.1 | 2.5 |
| 7 | 2.3 | 2.6 |
| 8 | 2.5 | 2.9 |
| 9 | 2.8 | 3.3 |

Example 9

25 In this example, one gram of a plasticizer
(Tergitol NP40, sold by Union Carbide Corp. (an alkyl
phenyl ether of polyethylene glycol)) was employed in
addition to the other ingredients employed in Example 5.

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1 Twenty grams of a water-soluble polyethylene oxide
having a molecular weight of 200,000 (WERN 80) was
employed as the polyethylene oxide. The coating
5 material was prepared by the method set forth in
Example 5. The coating was applied to a cigarette
having six lines of perforations consisting of three
rows of two lines each. The average thickness of
the coating applied to the perforations was ten microns.
10 The cigarette was tested on a standard smoke testing
machine (Philip Morris Automatic Smoking Machine of
the type sold by Phipps & Bird, Inc.), and was com-
pared with a control cigarette which was exactly
the same except that it had no perforations or coat-
15 ing. The cigarettes were evaluated for total parti-
culate matter on a puff-by-puff basis in accordance
with the standard test which is described in
Analytical Chemistry, 31, 1705-1709 (1958), Wartman,
Cogbill and Harlow. The cigarettes employed had a
20 standard 20 mm. cellulose acetate filter and a rod
of tobacco 65 mm. in length. In the test cigarettes
involved in this example, the perforations were
larger than in the preceding example and were rec-
tangular in shape having the dimensions 0.010 inch
25 in a direction transverse to the axis of the cigarette
and 0.014 inch in a direction parallel to the axis
of the cigarette. A distance of thirty mm. from the
smoking end of the cigarette was left without per-
forations. From this point on toward the smoker's

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1 end of the cigarette perforations were present in
three rows of two lines each, with a distance between
the two lines of 0.032 inch and the interval between
5 perforations in the lines was 0.30 inch. The results
obtained are set forth in Table VI.

Table VI

| Puff No. | Experimental Cigarette | Control Cigarette |
|----------|---------------------------|----------------------|
| 10 1 | 1.5 mg. | 1.4 mg. |
| 2 | 1.7 | 1.7 |
| 3 | 1.8 | 1.8 |
| 4 | 1.9 | 1.9 |
| 5 | 1.8 | 2.3 |
| 6 | 1.4 | 2.4 |
| 7 | 1.6 | 2.5 |
| 8 | 1.7 | 2.8 |
| 9 | 1.8 | 3.0 |
| 15 10 | 2.0 | |

15 As mentioned earlier in this specification,
the dimensions and arrangements of the holes or
perforations will vary in accordance with the de-
sired results. They may be arranged and may be of
the sizes and shapes shown in the above-mentioned
20 Figge patent or they may have other sizes, shapes
and configurations.

25 A particular combination which provides out-
standing results in accordance with the present in-
vention involves the use, in a cigarette or the
like, of spaced perforations no closer to the
smoking end of the cigarette or smoking article
than 20 mm., said perforations having a total

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1 surface corresponding to from about 0.2 to about
1.5% of the total surface area of the cigarette
wrap, said perforations being filled with or coated
5 with a film having an average thickness across the
holes or perforations of from about 3 to 60 microns
and preferably of about 5 to 15 microns of a water-
soluble material, most preferably polyethylene oxide.

10 The advantage of employing a water-soluble
film over a film or coating which is not water-
soluble, resides in the more accurate control over
the opening of the filled perforations or holes and
in the fact that the holes can be caused to open a
much greater distance from the coal in the cigarette,
15 whereby ventilation can be started and maintained
at a desired level with greater accuracy and greater
control.

20 I have found that the use of a water-soluble
bubble coating for closing the perforations or holes
provides even greater improvements. Such a water-
soluble bubble coating can be caused to open or dis-
integrate at an even greater distance from the coal
than a water-soluble film which is not a bubble coat-
ing. Furthermore, the use of a bubble coating to
25 form the film which seals the holes may be charac-
terized by a white opacity which disappears as the
film dissolves or in the moments before when collapse
of the bubble structure brings transparency. The
smoker can see the vent holes being opened. The

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1 bubble structure also results in a thicker film
from a given weight of material, and this added
thickness permits easier control during application.

5 The greater bulk or thickness means that a difference of a fraction of a mil is of less consequence than it would be otherwise. The bubble-coating technique and the resulting film have been described earlier. The film which results contains
10 many small air bubbles which may occupy much more space than the solid portion but, in general, the bubbles are not connected. They have roughly the size of the wave length of visible light and the light-scattering effect of the bubbles gives the film opacity and brightness. The bubbles are introduced by evaporation of a liquid which is first
15 dispersed as minute droplets in the continuous phase with which the liquid is immiscible. The continuous phase or binder, which is a solution of the film-former in a different liquid, gels after coating is applied, usually due to partial evaporation of the latter liquid. The gel then fixes the liquid-filled bubbles. There is some shrinkage of the structure during the drying, while the liquid is
20 passing out of the bubbles and diffusing to the surroundings and air is replacing it in the bubbles.
25

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CLAIMS:

FILE 582-534 Philippines

1. A cigarette wrapper or the like comprising a combustible material having spaced apertures therein, and a film formed from a nontoxic filling material normally closing said apertures, which filling material comprises an organic water-soluble material selected from the group consisting of polyvinyl alcohol, polyethylene oxide, polyethylene glycol and dextrin.
2. The cigarette wrapper of claim 1, wherein said film is a three-dimensional cellular structure, including a multiplicity of discrete microscopic or sub-microscopic enclosed voids beneath the outer surface thereof and distributed throughout the volume of the structure.
3. The cigarette wrapper of claim 1 wherein said water-soluble filling material is polyvinyl alcohol.
4. The cigarette wrapper of claim 1 wherein said water-soluble filling material is polyethylene oxide.

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WHAT IS CLAIMED IS:

1. A cigarette wrapper or the like comprising a combustible material having spaced apertures therein, and non-toxic filling material normally closing said apertures, which filling material comprises a water-soluble material.
2. A cigarette or the like, including a combustible wrapper having perforations filled with a non-toxic water-soluble filling material.
3. The cigarette wrapper of claim 1, wherein said filling material is a three-dimensional cellular structure, including a multiplicity of discrete microscopic or sub-microscopic enclosed voids beneath the outer surface thereof and distributed throughout the volume of the structure.
4. The cigarette of claim 2, wherein said filling material is a three-dimensional cellular structure, including a multiplicity of discrete microscopic or sub-microscopic enclosed voids beneath the outer surface thereof and distributed throughout the volume of the structure.

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OATH, POWER OF ATTORNEY AND PETITION

Being duly sworn, I, RONALD A. TAMOL, depose and say that I, am a citizen of the United States of America, and resident of Richmond, Virginia, United States of America, that I have read the foregoing specification and claims and I verily believe I am the original, first and sole inventor of the invention or discovery in

SMOKING PRODUCT AND METHOD OF MAKING THE SAME

described and claimed in the annexed specification; that I do not know and do not believe that the same was ever known or used by others in the Republic of the Philippines before my invention or discovery thereof, or patented or described in any printed publication in the Republic of the Philippines more than one year prior to the date of this application; or that the subject matter of said invention is the same as that of some other invention covered by a patent validly issued in the Republic of the Philippines filed before the filing of this application; that no application for patent on this invention or discovery has been filed by me or my representatives or assigns in any country foreign to the Republic of the Philippines, except as follows:

United States, Serial No. 728,140 filed May 10, 1968

And I hereby appoint Messrs. Ross, Salcedo, Del Rosario, Bito and Misa, of Ramon Magsaysay Center, Roxas Boulevard, Manila, my attorneys and agents with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent Office connected therewith, and upon whom may be served notice of process relating (a) to this application and (b) to the grant of Letters Patent subject of the said application when granted.

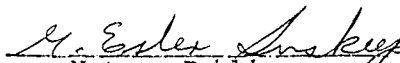
Wherefore I pray that Letters Patent be granted to me under Section 15 of Republic Act 165 for the invention or discovery described and claimed in the foregoing specification and claims and I hereby subscribe my name to the foregoing specification and claims, oath, power of attorney and this petition, this 25th day of March, 1969



Ronald A. Tamol

UNITED STATES OF AMERICA)
STATE OF VIRGINIA) ss:
CITY OF RICHMOND)

Before me personally appeared RONALD A. TAMOL, to me known and known to me to be the person described in the above application for patent, who signed the foregoing instrument in my presence, and made oath before me to the allegations set forth as being under oath, on the day and year aforesaid.



Notary Public

ASSIGNMENT OF AN ENTIRE INTEREST IN AN INVENTION
BEFORE THE ISSUE OF LETTERS PATENT

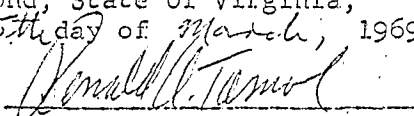
Whereas I, Ronald A. Tamol, of Richmond, Virginia,
United States of America, have invented

SMOKING PRODUCT AND METHOD OF MAKING THE SAME

for which I am about to make application for Letters Patent
in the Philippines; and whereas PHILIP MORRIS INCORPORATED,
a corporation organized under the laws of the State of
Virginia, United States of America, with offices at Richmond,
Virginia, and 100 Park Avenue, New York, New York 10017,
United States of America, is desirous of acquiring an
interest therein;

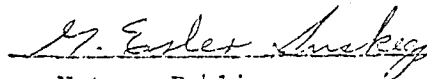
Now, therefore, in consideration of the sum of \$ 5.00
the receipt of which is hereby acknowledged, I, Ronald A.
Tamol, by these presents do sell, assign and transfer unto
said PHILIP MORRIS INCORPORATED, the full and exclusive
right, for the territory of the Philippines, in and to the
said invention, as described in the specification executed
by me on the day of
preparatory to obtaining Letters Patent of the Philippines
therefor; said invention, application, and Letters Patent
to be held and enjoyed by the said PHILIP MORRIS INCORPORATED
for their own use and behoof and for its legal representatives,
to the full end of the term for which said Letters Patent
be granted, as fully and entirely as the same would have
been held by me had this assignment and sale not been made.

Executed in the City of Richmond, State of Virginia,
United States of America, this 25th day of March, 1969


Ronald A. Tamol

UNITED STATES OF AMERICA)
STATE OF VIRGINIA) ss:
CITY OF RICHMOND)

Before me on this 25th day of March, 1969 appeared
RONALD A. TAMOL, who signed this document in my presence.


Notary Public

My Commission Expires February 12, 1970

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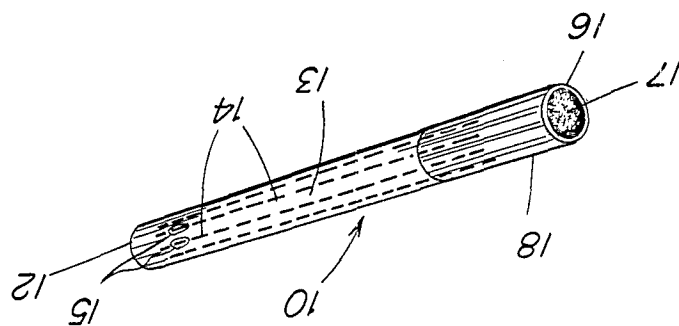


FIG. 5

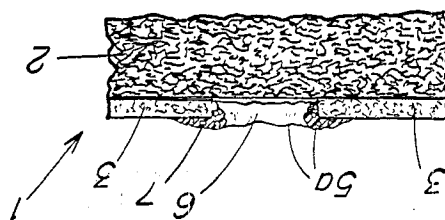


FIG. 4

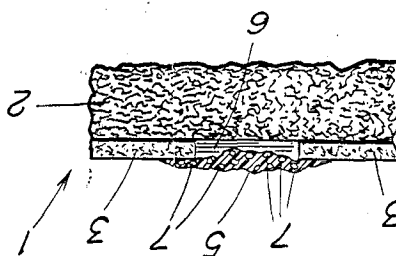


FIG. 3

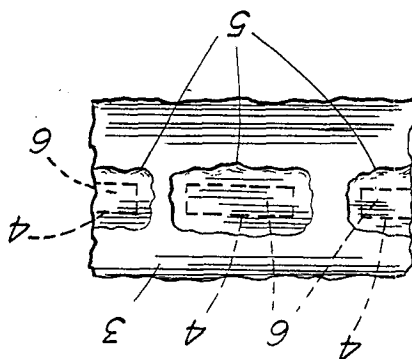


FIG. 2

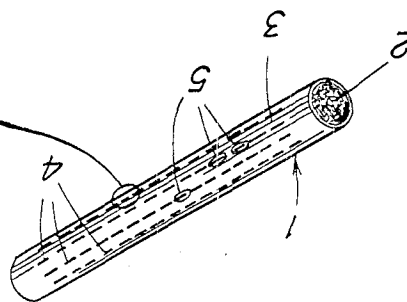


FIG. 1